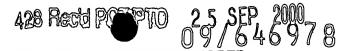
FORM PTO-1390 (Modified) (REV 10-95) U.S. DEPARTMENT OF COMMERCE PATENT AND THE UNITED STATES TRANSMITTAL LETTER U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR. DESIGNATED/ELECTED OFFICE (DO/EO/US) 646978 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/JP00/00402 INTERNATIONAL FILING DATE January 27, 2000 January TITLE OF INVENTION LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF 1) Qinglong HAO, 2) Pengcheng LI, 3) Qian XIU, APPLICANT(S) FOR DO/EO/US Atsushi OGURA and 5) Jingfeng GAO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. X 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay 3. examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4 A copy of the International Application as filed (35 U.S.C. 371 (c) (2)). 5. is transmitted herewith (required only if not transmitted by the International Bureau). a. 🗀 has been transmitted by the International Bureau. b. 🛭 is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). X A copy of the International Search Report (PCT/ISA/210). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 12. (35 U.S.C. 371 (c)(5)). Items 13 to 18 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. A FIRST preliminary amendment. 15. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. 16. 17. A change of power of attorney and/or address letter. Certificate of Mailing by Express Mail 18. ☑ 19. Other items or information:

THE FEE CACULATION MUST BE BASED ON THE CLAIMS AS AMENDED AND ADDED IN THE ATTACHED PRELIMINARY AMENDMENT.

529 Rec'd PCT/PTO 25' SEP 2000

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR INTERNATIONAL APPLICATION NO. PCT/JP00/00402					ATTORNEY'S DOCKET NUMBER 4296		
20. The following fees are submittees.						CALCULATION	S PTO USE ONLY
	L FEE (37 CFR 1.492 (a) (1) -	CALCOLATION	S TTO OSE ONE!				
☐ Search Report has been prepared by the EPO or JPO \$840.00							
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482)							
☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00							
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO							
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)							
ENTER APPROPRIATE BASIC FEE AMOUNT =						840	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).							
CLAIMS	NUMBER FILED	NUMBER EXT	TRA .	RATE			
Total claims	9 - 20 =	0	-	x \$18.00			
Independent claims	<u> </u>	0		x \$78.0	00		
Multiple Dependent Claims (check if applicable).							
TOTAL OF ABOVE CALCULATIONS =						340	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).							
SUBTOTAL =							
Processing fee of \$130.00 for furnishing the English translation later than							
TOTAL NATIONAL FEE =						840	. –
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).						40	
TOTAL FEES ENCLOSED =						880	
						Amount to be: refunded	\$.
					ľ	charged	\$
A check in the amount of to cover the above fees is enclosed.							
☑ Please charge my Deposit Account No. 01-1944 in the amount of \$880.00 to cover the above fees.							
A duplicate copy of this sheet is enclosed.							
The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 01-1944 A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Anderson	n, Kill & Olick P	.c.		SIGNA	URE		
1251 Avenue of the Americas							
New Yor	Eug é ne Lieberstein NAME						
(212) 278-1000							
(212) 2	24,645						
REGISTRATION NUMBER						ł	
September 25, 2000							
				DATE			



EXPRESS MAIL CERTIFICATE OF MAILING - SEPARATE PAPER

IN THE MATTER OF: HAO et al ATTORNEY'S DOCKET NO.: 4296 FOR: LIGHT-EMITTING MATERIAL AND PRODUCING METHOD THEREOF I hereby certify that the new PCT/DO application: <u>X</u> transmittal letter X __ specification and claims <u>X</u> declaration X assignment with Recordation Form Cover Sheet _____ sheets drawings _____ priority document X Preliminary Amendment is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner of Patents and Trademarks, BOX PCT, Washington, DC 20231 on <u>September 25, 2000</u> EXPRESS MAIL LABEL NO. EL641005539US__ (Name of Person making deposit)

> September 25, 2000 (Date)

(Signature)

Anderson, Kill & Olick, P.C. 1251 Avenue of the Americas New York, NY 10020-1182 (212)278-1000